



Sector #3

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/734,296
Filing Date	December 11, 2000
First Named Inventor	George Rodriguez
Group Art Unit	1713
Examiner Name	Unassigned
Attorney Docket Number	99B050/2

Total Number of Pages in This Submission 5

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Documents)	<input type="checkbox"/> Terminal Disclaimer	<u>Postcard</u>
<input type="checkbox"/> Response to Missing Part/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## REMARKS

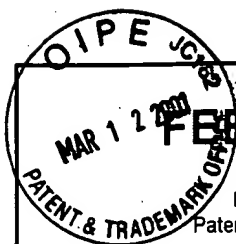
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Charles E. Runyan	Registration No.	43,066
Signature			
Date	March 7, 2001		

## CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 7, 2001

Typed or printed name	Charles E. Runyan	Signature		Date	March 7, 2001
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# FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

Complete if Known

Application Number	09/734,296
Filing Date	December 11, 2000
First Named Inventor	George Rodriguez
Group Art Unit	1713
Examiner Name	Not Assigned
Attorney Docket Number	99B050/2

Total Amount of Payment (\$130.00)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 05-1715

Deposit Account Name: ExxonMobil Chemical - Polymers

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101	710 Utility filing fee	
106	320 Design filing fee	
107	490 Plant filing fee	
108	710 Reissue filing fee	
114	150 Provisional filing fee	

SUBTOTAL (1)

### 2. CLAIMS

Total Claims 22 - 20 = 2 x 18.00 =

Independent Claims 3 - 3 = 0 x =

Multiple Dependent Claims =

Large Entity Fee Code	Fee Description
103	18 Claims in excess of 20
102	80 Independent claims in excess of 3
104	270 Multiple dependent claim
109	80 Reissue independent claims over original patent
110	18 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description
105	130	Surcharge - late filing fee or oath
127	50	Surcharge - late provisional filing or cover sheet.
139	130	Non-English specification
147	2,520	For filing a request for reexamination
112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	Requesting publication of SIR after Examiner action
115	110	Extension for reply within first month
116	390	Extension for reply within second month
117	890	Extension for reply within third month
118	1,390	Extension for reply within fourth month
128	1,890	Extension for reply within fifth month
119	310	Notice of Appeal
120	310	Filing a brief in support of an appeal
121	270	Request for oral hearing
138	1,510	Petition to institute a public use proceeding
140	110	Petition to revive - unavoidable
141	1,240	Petition to revive - unintentional
142	1,240	Utility issue fee (or reissue)
143	440	Design issue fee
144	600	Plant issue fee
122	130	Petition to the Commissioner
123	50	Petitions related to provisional applications
126	240	Submission of information Disclosure Stmt.
581	40	Recording each patent assignment per property (times number of properties)
146	710	Filing a submission after final rejection (37 CFR 1.129(a))
149	710	For each additional invention to be examiner (37 CFR 1.129(b))

Other fee (specify) Notice to File Missing Parts

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

FEES PAID

\$130.00

\$130.00

Typed or Printed Name Charles E. Runyan

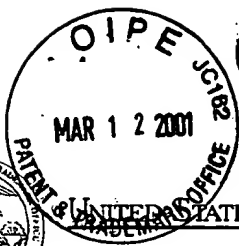
Reg. No. 43,066

Signature

Date March 7, 2001

Deposit Account 05-1715  
User ID

#3



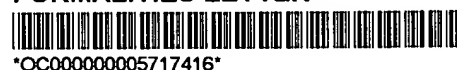
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,296	12/11/2000	George Rodriguez	99B050

CONFIRMATION NO. 3878

## FORMALITIES LETTER



\*OC000000005717416\*

ExxonMobil Chemical Company  
P.O. Box 2149  
Baytown, TX 77522

Date Mailed: 02/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09734296

051715

03/14/2001 060110M 00000093

130.00 CH

01 FC:105



Receipt

"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	)	Before the Examiner
Application of: George Rodriguez	)	Unassigned
	)	Group Art Unit No.
Serial No.: 09/734,296	)	1713
Filed: December 11, 2000	)	
For: Olefin Polymerization Catalysts Derived	)	Attorney Docket No.
From Group-15 Cationic Compounds and	)	99B050/2
Processing Using Them	)	

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

- [ X ] The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on March 7, 2001.

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following data is:

[ ] incorrectly entered

and/or

[ X ] omitted

- |       |                                   |
|-------|-----------------------------------|
| [ ]   | Applicant's Name                  |
| [ X ] | Applicant's Address               |
| [ ]   | Title                             |
| [ ]   | Filing Date                       |
| [ ]   | Serial Number                     |
| [ ]   | Foreign/PCT Application Reference |
| [ ]   | Other                             |

in that the filing receipt should read as follows:

Applicant(s). George Rodriguez, Houston, Texas

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JUN 18 2001  
1C 1700 MAIL ROOM

3. (complete the following applicable item A or B)

A. ☐ The correction is not due to any error by applicant and no fee is due

OR

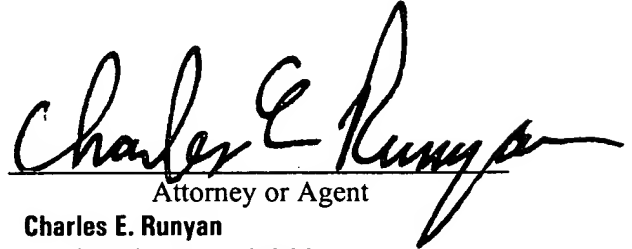
B. ☒ The correction is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

☐ enclosed is a check for \$25.00.

☒ charge Deposit Account No. 05-1715 \$25.00.

March 7, 2001

Date of Signature

  
Attorney or Agent

**Charles E. Runyan**

Registration No. 43,066

Telephone No. (281) 834-2657

Facsimile No. (281) 834-2495

Post Office Address (to which correspondence is to be sent):

ExxonMobil Chemical Company

Law Technology

P. O. Box 2149

Baytown, Texas 77522-2149



CER/MSN  
1508  
KLH

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/734,296	12/11/2000	1713	2030	99B05012 99B05012		29	6

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EMCLTBPC  
BAYTOWN

CONFIRMATION NO. 3878

FILING RECEIPT



\*OC00000005717415\*

ExxonMobil Chemical Company  
P.O. Box 2149  
Baytown, TX 77522

Date Mailed: 02/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

*Houston, TX*  
George Rodriguez, Residence Not Provided

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/169,768 12/09/1999

Foreign Applications

If Required, Foreign Filing License Granted 01/29/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Olefin polymerization catalysts derived from Group-15 cationic compounds and processes using them

Preliminary Class

526

ACKNOWLEDGED

FEB 09 2001

Patent Admin. Group

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JUN 18 2001  
TC 1700 MAIL ROOM